

# EXHIBIT A

## **DAVID L. PEARLE, M.D.**

### **PERSONAL INFORMATION**

HOME ADDRESS: 8709 Bellwood Road  
Bethesda, Maryland 20817

BUSINESS TELEPHONE: (202) 444-8833 / (202) 444-1839 FAX

EMAIL ADDRESS: [REDACTED]

BIRTH DATE: September 3, 1942

BIRTHPLACE: Pittsburgh, Pennsylvania

CITIZENSHIP: U.S.A.

MARITAL STATUS: Married, two children

### **EDUCATION**

1964 B.A., Amherst College, cum laude  
1965 Amherst, Massachusetts

1968 M.D., Harvard Medical School,  
Boston, Massachusetts

### **TRAINING/ PROFESSIONAL POSITIONS**

1968-1969 Internship in Medicine, New York Hospital

1969-1970 Residency in Medicine, New York Hospital

1970-1972 Commissioned Officer, Public Health Service (Military Service)  
Clinical Instructor of Medicine, George Washington University Hospital, Washington, DC

1972-1974 Cardiology Fellow, Georgetown University Hospital, Washington, DC

July 1974-January 1975 1970 Hemodynamics Fellow, Washington Veterans Administration Hospital Washington, DC

February 1975-June 1975	Staff Cardiologist, Washington Veterans Administration Hospital, Washington, DC
July 1975-March 1983	Staff Cardiologist, Georgetown University Hospital, Washington, DC Assistant Director, Coronary Care Unit, Georgetown University Hospital, Washington, DC
April 1983-June 1989	Co-Director, Medical Special Care Unit, Georgetown University Hospital, Washington, DC
April 1987-1989	Transplant Cardiologist, Georgetown University Hospital, Washington, DC
July 1988-January 1993	Acting Chief, Division of Cardiology, Georgetown University Hospital, Washington, DC
1990-2002	Director, Georgetown Heart Failure Service
October 1995-Present	Director, Coronary Care Unit, Georgetown University Hospital, Washington, DC

#### **APPOINTMENTS**

1970	Clinical Instructor of Medicine, George Washington University Hospital, Washington, DC
1974	Instructor of Medicine, Georgetown University Hospital, Washington, DC
1975	Assistant Professor of Medicine (Cardiology), Georgetown University Hospital, Washington, DC
1976	Assistant Professor of Medicine and Pharmacology, Georgetown University Hospital, Washington, DC
1980	Associate Professor of Medicine and Pharmacology, Georgetown University Hospital, Washington, DC (Tenured)
1991	Professor of Medicine, Georgetown University Hospital, Washington, DC (Tenured)
2000	Professor of Medicine, Medstar Georgetown University Hospital, Washington, DC

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#### **CERTIFICATION**

1974	American Board of Internal Medicine
1975	American Board of Internal Medicine, Subspecialty of Cardiovascular Diseases
2000	American Board of Internal Medicine, Subspecialty of Interventional Cardiology

#### **LICENSURE**

New York, 1970  
District of Columbia, 1972  
Virginia, 1995  
Maryland, 2003

#### **AWARDS**

Special Clinical Fellowship, Washington Heart Association, 1972-1973  
Donald Zucker Visiting Professor, Cornell/New York Hospital, 1991  
Vicennial Medal, Georgetown University, 1994  
Distinguished Alumnus Award,  
W. Proctor Harvey Society, 1994  
Washingtonian Magazine, Top Doctors, 1991-2005  
America's Top Physicians, Consumers Research Council of America, 2004-2005

#### **PROFESSIONAL SOCIETIES**

- \* American Heart Association, National Capital Affiliate, President 1984-1986
- \* Intersociety Commission for Heart Disease Resources
- \* Fellow, American College of Cardiology
- \* Fellow, American Heart Association, Council on Clinical Cardiology
- \* Medical Society of the District of Columbia
- \* Fellow, American Federation for Clinical Research
- \* Fellow, Society for Cardiac Angiography

#### **HOSPITAL AND MEDICAL CENTER COMMITTEES**

- \* Chairman, CPR Committee, 1976-1985
- \* Intern Selection Committee, Department of Medicine, 1976-1984
- \* Institutional Review Board, 1978-1982
- \* Search Committee, Pediatrics Chair, 1988
- \* University Fiscal Affairs Committee, 1990
- \* Faculty Senate, 1988-1991
- \* Hospital Capital Equipment Committee, 1989-1993
- \* Search Committee, Anesthesia Chair, 1988
- \* Medical Center Bylaws and Governance Committee, 1990
- \* Department of Medicine Billing Committee, 1992-1996

- \* University Faculty Grievance Committee, 1998-2000
- \* Chairman, CPR Committee, 1999-Present
- \* Division of Cardiology
  - \* Executive Committee, 1992
  - \* Billing Committee, Chair, 1992
  - \* Strategic Planning Committee, 1992
- \* Pharmacy and Therapeutics Committee, 1999- Present

# RESEARCH GRANTS AWARDED

1. ~~Ch~~lordinazepoxide for Ventricular Arrhythmias  
American Heart Association, National Capital Affiliate-1975
2. ~~Di~~lfedipine, Angina, and Myocardial Infarction Study  
Pfizer laboratories-1979-1982  
\$72,635  
Principal Investigator: David L. Pearle, MD
3. ~~Di~~lfedipine: To Determine Efficacy in Management of Angina Pectoris  
Pfizer laboratories-1979-1982  
Principal Investigator: David L. Pearle, MD
4. ~~Open~~, Multi Center Trial of Ibopamine Hydrochloride in Patients with Congestive Heart Failure: Long Term Safety  
Smith, Kline, and French Laboratories- 1984-1987  
\$176,490  
Principal Investigator: David L. Pearle, MD
5. ~~Double~~ Blind, Placebo-Controlled, Multi Center Trial of Ibopamine in Patients with Congestive Heart Failure: Invasive Evaluation of Hemodynamic Parameters and Exercise Testing  
Smith, Kline, and French Laboratories- 1985-1987  
\$176,490  
Principal Investigator: David L. Pearle, MD
6. ~~Open~~ Safety Trial of Ibopamine with Captopril and Hydralazine: Acute Hemodynamic Study with Short Term Chronic Dosing  
Smith, Kline, and French Laboratories- 1985-1987  
\$59,700  
Principal Investigator: David L. Pearle, MD
7. ~~An~~ Optional, Open, Long Term Study of the Effects of Flosequinan 75, 100, or 150 mg q.d. on Safety, Tolerance, Survival Time and Disease Symptomatology in Congestive Heart Failure Patients Randomized to the BP1 919 Double Blind Study  
The Boots Company, U.S.A., Inc.  
\$25,000  
Principal Investigator: David L. Pearle, MD
8. ~~An~~ Optional, Open-Label, Long Term Study of the Effects of Flosequinan 100 mg Once a Day (with 150 mg/ 100 mg/ 75 mg/ 50 mg up or down titration) on Safety, Tolerability, Survival Time and Disease Symptomatology in Congestive Heart Failure Patients Who Have Participated in BPI-934  
The Boots Company, U.S.A., Inc.  
\$48,000  
Principal Investigator: David L. Pearle, MD
9. ~~Modern~~ Approach to the Treatment of Hypertension Multi-Center Study (MATH)  
Pfizer, Inc.  
\$4,000  
Principal Investigator: David L. Pearle, MD

10. ~~On~~ An Open-Label, Compassionate-Use, Long Term Study to Evaluate the of Repeated Daily Oral Doses of Flosequinan in Patients with Congestive Heart Failure  
The Boots Company, U.S.A., Inc.  
\$ N/a  
Principal Investigator: David L. Pearle, MD
11. ~~A~~ Multi-Center, Double Blind, Placebo-Controlled Study of the Effects of Manoplax (Flosequinan) 75 mg Twice Daily or 100 mg Once Daily on Duration of Exercise Treadmill Testing and Disease Symptoms for Up to 12 Weeks in Symptomatic Congestive Heart Failure Patients on Angiotensin Converting Enzyme Inhibitors (ACEIs)  
The Boots Company, U.S.A., Inc.  
~~\$180,000~~  
Principal Investigator: David L. Pearle, MD
12. "An Invasive Hemodynamic Study of the Effects, Safety, and Tolerability of 75 mg Twice Daily, 100 mg Once Daily, or 150 mg Once Daily Manoplax (Flosequinan) in New York Heart Association Class III or IV Congestive Heart Failure Patients Uncontrolled by Angiotensin Converting Enzyme Inhibitors (ACEIs) and Diuretics  
The Boots Company, U.S.A., Inc.  
\$420,000  
Principal Investigator: David L. Pearle, MD
13. ~~A~~ Double Blind, Placebo-Controlled, Parallel Group, Invasive Hemodynamic Study with Manoplax (Flosequinan) 75 mg Twice Daily, or 150 mg Once Daily for Two Weeks in New York Heart Association Class III and IV Congestive Heart Failure Patients Symptomatic on Angiotensin Converting Enzyme Inhibitors, BPI 993  
The Boots Company, U.S.A., Inc.  
\$420,700  
Principal Investigator: David L. Pearle, MD
14. ~~An~~ Open-Label, Long Term Study of the Effects of Manoplax (Flosequinan) 50 mg b.i.d. (with 75 mg b.i.d. upward titration or 25 mg b.i.d. downward titration) on Safety, Tolerability, Survival Time and Disease Symptomatology in Congestive Heart Failure Patients Who Have Participated in BPI 993  
The Boots Company, U.S.A., Inc.  
\$89,800  
Principal Investigator: David L. Pearle, MD
15. ~~U.S.~~ Thrombolysis Registry  
Medical Research International  
\$ N/a  
Principal Investigator: David L. Pearle, MD
16. ~~Effects~~ of Amlinodipine on Exercise Tolerance and Safety in Patients with Chronic, Symptomatic (New York Heart Association Class II-IV) Heart Failure Receiving a Combination of Angiotensin Converting Enzyme Inhibitors, Digoxin, and Diuretics  
Pfizer Laboratories  
Funded at \$59,368 Current available \$11,887 (funds stilled owed)  
Principal Investigator: David L. Pearle, MD

17. ~~Q~~ Long Term Double Blind Evaluation of the Safety of Amlodopine in Patients with Heart Failure (175E) ~~Q~~  
Pfizer Laboratories  
Funded at \$5,00 Current available- \$4,832  
Principal Investigator: David L. Pearle, MD
18. ~~Q~~ A Double Blind Multi Center Comparison of Oral Carvedilol b.i.d. with Placebo in the Treatment of Patients with Congestive Heart Failure, New York Heart Association Class III-IV (221) ~~Q~~  
Smith, Kline, and Beecham  
Funded at \$24,855 (Projected-\$72,000) Current available \$2,622  
Principal Investigator: David L. Pearle, MD
19. ~~Q~~ A Six Month Double Blind Multi Center Comparison of Oral Carvedilol b.i.d. with Placebo in the Treatment of Patients with Congestive Heart Failure, New York Heart Association Class III-IV (239) ~~Q~~  
Smith, Kline, and Beecham 1992  
Funded at \$5,145 (Projected-0) Current available \$9,606  
Principal Investigator: David L. Pearle, MD
20. ~~Q~~ A Two Year, Open Label Multi Center, Safety Study of Twice Daily Oral Carvedilol in Patients with New York Heart Association Class I-IV Congestive Heart Failure (Extension) ~~Q~~  
Sponsor: Smith Kline and Beecham  
Total Grant Amount: \$22,760  
Amount Funded as of June 1995: \$8,535 (Funds due)  
Status: Active  
Principal Investigator: David L. Pearle, MD
21. Achieve Congestive Heart Failure Investigation and Economic Variable Evaluation with Accupril  
Sponsor: Park Davis  
Total Grant Amount: \$235/pt.  
Amount Funded as of June 1995: \$1,250  
Status: Active  
Principal Investigator: David L. Pearle, MD
22. A Multi Center Double Blind Randomized Parallel Design, Pilot Study to Evaluate the Safety and Tolerability of Losartan Administered in Addition to Enalapril in Patients with Heart Failure Treated Previously with ACE Inhibitors  
Sponsor: Merck and Co.  
Total Grant Amount: \$45,000  
Amount Funded as of June 1995: 0  
Status: Active  
Principal Investigator: David L. Pearle, MD
23. An Open Label Evaluation of Carvedilol in Patients with Chronic Congestive Heart Failure New York Heart Association Class II-IV  
Sponsor: Smith Kline and Beecham  
Total Grant Amount: \$500/pt.  
Amount Funded as of June 1995: 0  
Status: Active  
Principal Investigator: David L. Pearle, MD



24. A Six-Month Double Blind, Multi Center Evaluation of Oral Carvedilol b.i.d. Compared with Placebo in Patients with New York Heart Association Class III-V  
Sponsor: Smith Kline and Beecham  
Total Grant Amount: \$82,850  
Amount Funded as of June 1995: \$5,145  
Status: Closed  
Principal Investigator: David L. Pearle, MD
25. A 12-Month Double Blind, Multi Center Comparison of Oral Carvedilol b.i.d. with Mild Congestive Heart Failure New York Heart Association Class II  
Sponsor: Smith Kline and Beecham  
Total Grant Amount: \$53,795.  
Amount Funded as of June 1995: \$9,606  
Status: Closed  
Principal Investigator: David L. Pearle, MD
26. BEST Beta-Blocker Evaluation of Survival Trial: Bucindolol  
Sponsor: National Heart, Lung, and Blood Institute, Va. Coop.  
Total Grant Amount: \$120,000  
Amount Funded as of June 1995: 0  
Status: Active  
Principal Investigator: David L. Pearle, MD
27. PRAISE II Prospective Randomized Amlodipine Survival Evaluation  
Sponsor: Pfizer, Inc.  
Total Grant Amount: to be negotiated  
Amount Funded as of June 1995: 0  
Status: Active  
Principal Investigator: David L. Pearle, MD
28. VEST Vesnarinone Trial  
Sponsor: Otsuka Pharmaceuticals.  
Total Grant Amount: \$78,750  
Amount Funded as of June 1995: 0  
Status: Active  
Principal Investigator: David L. Pearle, MD
29. The Effect of RO-40 on Exercise Treadmill Test Duration and All Cause Mortality in Patients with Chronic Congestive Heart Failure New York Heart Association Class II-V Treated for Approximately 3-Years  
Sponsor: Hoffman LaRoche  
Total Grant Amount: \$128,292  
Amount Funded as of April 1995: 0  
Status: Closed  
Principal Investigator: David L. Pearle, MD

# **Abstracts**

Pearle, D.L., Corr, P.B., and R.A Gillis. Influences of site of cardiovascular changes induced by coronary ligation. *Federal Process* 33:162, 1974

Pearle, D.L., and R.A Gillis. Effects of digitals on the response of ventricular pacemakers to sympathetic nerve stimulation. *Am J Cardiology* 3:162, 1974.

Pearle, D.L., T. Hoekman, K.M. Kent, and R.A Gillis. Pharmacological analysis of a cardiac sympathetic neural response resistant to beta-adrenergic blockage. *Circulation* 50: 111-37, Supplement III, 1974.

Corr, P.B., D.L., Pearle, and R.A Gillis. Occlusion site as a determinant of the deleterious effects of atropine in experimental myocardial infarction. *Am J Cardiology* 35:129, 1975.

Pearle, D.L., Williford, and R.A Gillis. Comparison of practolol and propranolol on coronary occlusion-induced ventricular fibrillation. *Am J Cardiology* 41:399, 1978.

Chizner, M.D., D.L., Pearle, and A.C. deLeon Jr. Natural history of aortic stenosis in adults. *Chest* 74:3322, 1978.

Pearle, D.L., J. Dias Souza, and R.A Gillis. Comparative vagolytic effects of procainamine and n-acetylprocainamide in the dog. *Clinical Research* 27:139 A, 1979.

Alexander, G.J., J. Dias Souza, S.A. Segal, D.L., Pearle and R.A Gillis. Experimental coronary artery spasm: Prevention by nisoldipine and nifedipine by not by verapamil. *Clinical Research*, April 1983.

Muller, J., J. Morrison, P. Stone, R. Rude, B. Rosner, R. Roberts, D.L., Pearle, Z. Turi, J. Schneider, D. Serfas, C. Hennekens, E. Braunwald. Nifedipine therapy for threatened and acute myocardial infarction: A randomized double blind comparison. *Circulation* 68:111-120, 1983.

Muller, J., Z. Turi, D.L., Pearle, J. Schneider, D. Serfas, J. Morrison, P. Stone, R. Rude, B. Rosner, E. Scheiner, R. Roberts, B. Sovel, C. Hennekens, E. Braunwald. Nifedipine vs. conventional therapy for unstable angina pectoris: A randomized double blind comparison. *JACC* 3: 551, 1984.

Gatti, P.J., J. Dias Souza, J.A. Quest, P. Hamosh, D.L., Pearle, R.A Gillis. Ventricular tachyarrhythmias induced by exciting cell bodies in the area postrema of the cat. *Clinical Research* 32:167A, 1984.

Satler, L.F., D.L., Pearle, A.A. Del Negro, K.M. Kent, S. Levine, C.E. Rackley. Reason for low hospital mortality after thrombolysis. *Clinical Research* 32:681A, 1984.

Satler, L.F., C.E. Green, D.L., Pearle, R.S. Pallas, A.A. Del Negro, K.M. Kent, R.D. Fletcher, C.E. Rackley. The importance of metabolic support of the left ventricle after coronary thrombolysis. *JACC* 5:413, 1985.

Krucoff, M.W., C.E. Green, L.F. Satler, F.C. Miller, R.S. Pallas, D.L., Pearle, K.M. Kent, R.D. Fletcher, C.E. Rackley. ST segment monitoring as a predictor of thrombolysis in acute myocardial infarction. JACC 5:522, 1985.

Pallas, R.S., L.F. Satler, D.L., Pearle, K.M. Kent, A.A. Del Negro, C.E. Green, C.E. Rackley. Clinical course of patients undergoing emergency angiography during acute myocardial infarction. Clinical Research 32:831A, 1984.

Pearle, D.L., L.F. Satler, K.M. Kent, A.A. Del Negro, N.M. Katz, R.B. Wallace, C.E. Rackley. The financial costs of intra coronary thrombolysis. Clinical Research 32:83A, 1984.

Satler, L.F., N.M. Katz, K.M. Kent, D.L., Pearle, A.A. Del Negro, C.E. Rackley, R.B. Wallace. Short term mortality for coronary revascularization in the high-risk patient. Clinical Research 32:832A, 1984.

Krucoff, M.W., C.E. Green, D.L., Pearle, F.C. Miller, R.S. Pallas, A.A. Del Negro, K.M. Kent, R.D. Fletcher, C.E. Rackley. Successful thrombolysis predicted by ST segment monitoring. Clinical Research 32:830A, 1984.

Krucoff, M.W., C.E. Green, L.F. Satler, F.M. Miller, R.S. Pallas, D.L., Pearle, R.D. Fletcher, C.E. Rackley. The use of Holter monitoring to identify subtotal coronary occlusions. Clinical Research 32:675A, 1984.

Satler, L.F., C.E. Green, D.L., Pearle, S. Levine, A.A. Del Negro, K.M. Kent, C.E. Rackley. The effects of glucose-insulin-potassium on left ventricle function after coronary thrombolysis. Clinical Research 32:681A, 1984.

Satler, L.F., N.M. McNamara, R.S. Pallas, K.M. Kent, D.L., Pearle, C.E. Green, A.A. Del Negro, C.E. Rackley. Intravenous streptokinase for acute myocardial infarction during emergency ambulance transfer. Clinical Research 32:682A, 1984.

Pallas, R.S., C.E. Green, L.F. Satler, D.L., Pearle, A.A. Del Negro, K.M. Kent, S. Levine, C.E. Rackley. Coronary collateral incidence and its association with multi vessel disease during acute myocardial infarction. Clinical Research 32:679A, 1984.

Satler, L.F., C.E. Green, D.L., Pearle, S. Levine, A.A. Del Negro, K.M. Kent, C.E. Rackley. The beneficial effects of glucose-insulin-potassium therapy during acute thrombolysis. Circulation 70:11-153, 1984.

Satler, L.F., W.J. Rogers, K.M. Kent, L.M. Fox, H. A. Goldstein, R.S. Pallas, A.A. Del Negro, D.L., Pearle, C.E. Rackley. Demonstration of salvaged myocardium after successful coronary reperfusion. Clinical Research 33:747A, 1985.

Fletcher, A.M., L.F. Satler, R.S. Pallas, S.W. Ahmed, K.M. Kent, D.L., Pearle, C.E. Rackley. Therapy and cost in patients over 65 years of age hospitalized for acute myocardial infarction. Clinical Research 33:740A, 1985.

Satler, L.F., R.S. Pallas, C.E. Green, D.L., Pearle, A.A. Del Negro, K.M. Kent, N.M. Katz, R. B. Wallace, C.E. Rackley. Frequency of coronary angioplasty and coronary bypass after thrombolytic therapy. Clinical Research 33:224A, 1985.

McNamara, N.M., L.F. Satler, R.S. Pallas, C.E. Green, D.L., Pearle, A.A. Del Negro, K.M. Kent, C.E. Rackley. Bleeding complications of thrombolytic therapy. Clinical Research 33:211A, 1985.

Satler, L.F., C.E. Green, R.S. Pallas, D.L., Pearle, A.A. Del Negro, C.E. Rackley, K.M. Kent. Demonstration of salvaged myocardium after fibrinolytic therapy with coronary angioplasty. Clinical Research 33:224A, 1985.

Pallas, R.S., D.L., Pearle, L.F. Satler, C.E. Green, A.A. Del Negro, K.M. Kent, C.E. Rackley. Angiographic characteristics of stenosis in the infarct-related vessel after streptokinase. Clinical Research 33:224A, 1985.

Satler, L.F., C.E. Green, R.S. Pallas, D.L., Pearle, A.A. Del Negro, C.E. Rackley, K.M. Kent. Ischemia during angioplasty after streptokinase: A marker of salvaged myocardium. Clinical Research 33:747A, 1985.

Lavelle, J.P., M.L. Leitschuh, L.F. Satler, R.S. Pallas, C.E. Green, A.A. Del Negro, D.L., Pearle, C.E. Rackley, K.M. Kent. Short and long term follow-up for coronary angioplasty in acute myocardial infarction. Clinical Research 33:744A, 1985.

McNamara, N.M., L.F. Satler, S. W. Ahemed, A.A. Del Negro, R.S. Pallas, D.L., Pearle, K.M. Kent, C.E. Rackley. Hemorrhagic problems associated with thrombolytic therapy for acute myocardial infarction. Clinical Research 33:745A, 1985.

Satler, L.F., R.S. Pallas, C.E. Green, D.L., Pearle, A.A. Del Negro, K.M. Kent, N.M. Katz, R.B. Wallace, C.E. Rackley. Hospital course after streptokinase: Frequency of coronary angioplasty and coronary bypass. Clinical Research 33:747A, 1985.

Pearle, D.L., R.S. Pallas, L.F. Satler, C.E. Green, A.A. Del Negro, K.M. Kent, C.E. Rackley. The incidence of normal or near-normal infarct-related vessels after streptokinase for myocardial infarction. Clinical Research 33:173A, 1986.

Fletcher, A.M., L.F. Satler, R.S. Pallas, C.E. Green, D.L., Pearle, A.A. Del Negro, K.M. Kent, C.E. Rackley. Final treatment after thrombolytic therapy for infarction. Clinical Research 34:169A, 1986.

Lavelle, J.P., L.F. Satler, R.S. Pallas, C.E. Green, A.A. Del Negro, D.L., Pearle, C.E. Rackley, K.M. Kent. Coronary angioplasty for acute myocardial infarction: short and long term follow up. Clinical Research 34:172A, 1986.

Pallas, R.S., L.F. Satler, D.L., Pearle, C.E. Green, A.A. Del Negro, K.M. Kent, C.E. Rackley. Infarct vessel residual stenosis and feasibility of coronary angioplasty after streptokinase. Clinical Research 34:173A, 1986.

McNamara, N.M., L.F. Satler, S.W. Ahmed, A.A. Del Negro, R.S. Pallas, D.L., Pearle, K.M. Kent, C.E. Rackley. Bleeding complication after streptokinase for acute myocardial infarction. *Clinical Research* 34:209A, 1986.

Satler, L.F., K.M. Kent, L.M. Fox, H.A. Goldstein, W.J. Rogers, R.S. Pallas, A.A. Del Negro, D.L., Pearle, C.E. Rackley. The effects of thrombolytic therapy on inotropic contractile reverse. *Clinical Research* 34:209A, 1986.

Fletcher, A.M., L.F. Satler, R.S. Pallas, C.E. Green, D.L., Pearle, A.A. Del Negro, K.M. Kent, C.E. Rackley. Hospital therapy after streptokinase infusion for infarction. *Clinical Research* 34:298A, 1986.

Pallas, R.S., L.F. Satler, D.L., Pearle, C.E. Green, A.A. Del Negro, K.M. Kent, C.E. Rackley. Feasibility for coronary angioplasty after thrombolysis. *Clinical Research* 34:334A, 1986.

McNamara, N.M., L.F. Satler, S.W. Ahmed, A.A. Del Negro, R.S. Pallas, D.L., Pearle, K.M. Kent, C.E. Rackley. Bleeding with streptokinase: Implications for therapy. *Clinical Research* 34:325A, 1986.

Packer, M., K.A. Narahara, U. Elkayam, J.M. Sullivan, D.L., Pearle, B.M. Massie, M.A. Creager (on behalf of the Principal Investigators of the REFLECT study. Mount Sinai School of Medicine, New York, NY). Randomized, Multi Center, double blind, placebo-controlled study of the efficacy of flosequinan, a new, long-acting vasodilator drug in patients with chronic heart failure. *Supplement to Circulation* 82:111-323, October, 1990.

#### **Publications**

Gillis, R.A., D.L., Pearle, and T. Hokeman. Failure of beta adrenergic receptor blockage to prevent arrhythmias induced by cardiac sympathetic nerve stimulation. *Science* 185:70, 1974.

Pearle, D.L., and R.A. Gillis. Effects of digitalis on the response of the ventricular pacemaker to sympathetic neural stimulation and to isoproterenol. *American Journal of Cardiology* 34:704, 1974.

Gillis, R.A., D.L., Pearle, and B. Levitt. Digitalis: A neuro-excitatory drug. *Circulation* 52:379, 1975.

Corr, P.B., D.L., Pearle, and R.A. Gillis. Coronary occlusion site as a determinant of the cardiac rhythm effects of atropine and vagotomy. *American Heart Journal* 92:741, Dec. 1976.

Corr, P.B., D.L., Pearle, J.R. Hinton, W.C. Roberts, and R.A. Gillis. Site of myocardial infarction-a determinant of the cardiovascular changes induced in the cat by coronary occlusion. *Circulation Research* 39:840, Dec. 1976.

Gillis, R.A., P.B. Corr, D.G. Pace, D.E. Evans, J. A. DiMicco, and D.L., Pearle. Role of the nervous system in experimentally induced arrhythmias. *Cardiology* 61:37, 1976.

DiMicco, J.A., R. Prestel, D.L., Pearle, and R.A. Gillis. Mechanism of cardiovascular changes produced in cats by activation of the central nervous system with picrotoxin. *Circulation Research* 41:446, Oct. 1977.

Pearle, D.L., D.J. Williford, and R.A. Gillis. Superiority of protocol versus propranolol in protection against ventricular fibrillation induced by coronary occlusion. *American Journal of Cardiology* 42:960, 1978.

Pearle, D.L. Clinical experience with nifedipine for coronary artery spasm. *Medical Times* 107:12, Dec. 1979.

Chizner, M.D., D.L., Pearle, and A.C. deLeon, Jr. Natural history of aortic stenosis in adults. *American Heart Journal* 99:419-424, April, 1980.

Segal, S.A., D.L., Pearle, and R.A. Gillis. Coronary spasm produced by picrotoxin in cats. *European Journal of Pharmacology* 76: 447-451, 1981.

Pearle, D.L., J. Dias Souza, and R.A. Gillis. Comparative vagolytic effects of procainamide and n-acetylprocainamide in the dog. *Journal of Cardiovascular Pharmacology*, 5:450-453, 1983.

Pearle, D.L. Clinical experience with nifedipine for coronary artery spasm. *Resident & Staff Physician* 26:4, 1979.

Muller, J.E., Z.G. Turi, D.L., Pearle, J.F. Schneider, D.H. Serfas, J. Morrison, P.H. Stone, R.E. Rude, B. Rosner, B.E. Sobel, C. Tate, E. Scheiner, R. Roberts, C.H. Hennekens, and E. Braunwald. Nifedipine and conventional therapy for unstable angina pectoris: A randomized double blind comparison. *Circulation* 69:728-739, 1984.

Muller, J.E., J. Morrison, P.H. Stone, R.E. Rude, B. Rosner, R. Roberts, D.L., Pearle, Z.G. Turi, J.F. Schneider, D.H. Serfas, C. Tate, E. Scheiner, B.E. Sobel, C.H. Hennekens, and E. Braunwald. Nifedipine therapy for patients with threatened and acute myocardial infarction: A randomized, double blind, placebo-controlled comparison. *Circulation* 69:740-747, 1984.

Satler, L.F., S. Levine, A.A. Del Negro, D.L., Pearle, K.M. Kent, and C.E. Rackley. Non-surgical coronary reperfusion in evolving myocardial infarction. *Advances in Internal Medicine* 30:231-242, 1984.

Satler, L.F., D.L., Pearle, S. Levine, C.E. Green, A.A. Del Negro, and C.E. Rackley. Aortic dissection masquerading as acute myocardial infarction: Implication for thrombolytic therapy without cardiac catheterization. *American Journal of Cardiology* 54:1134-1135, Nov 1984.

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# EXHIBIT B



# Cardiovascular stent design and vessel stresses: a finite element analysis

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## Abstract

Intravascular stents of various designs are currently in use to restore patency in atherosclerotic coronary arteries and it has been found that different stents have different in-stent restenosis rates. It has been hypothesized that the level of vascular injury caused to a vessel by a stent determines the level of restenosis. Computational studies may be used to investigate the mechanical behaviour of stents and to determine the biomechanical interaction between the stent and the artery in a stenting procedure. In this paper, we test the hypothesis that two different stent designs will provoke different levels of stress within an atherosclerotic artery and hence cause different levels of vascular injury. The stents analysed using the finite-element method were the S7 (Medtronic AVE) and the NIR (Boston Scientific) stent designs. An analysis of the arterial wall stresses in the stented arteries indicates that the modular S7 stent design causes lower stress to an atherosclerotic vessel with a localized stenotic lesion compared to the slotted tube NIR design. These results correlate with observed clinical restenosis rates, which have found higher restenosis rates in the NIR compared with the S7 stent design. Therefore, the testing methodology outlined here is proposed as a pre-clinical testing tool, which could be used to compare and contrast existing stent designs and to develop novel stent designs.

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**Keywords:** Coronary stent; Finite-element method; Restenosis; Vascular injury; Arterial-wall mechanics

## 1. Introduction

Many clinical studies have been carried out to investigate the performance of cardiovascular stents and it has been found that different stents have different in-stent restenosis rates (McClean and Eigler, 2002; Colombo et al., 2002) with in-stent restenosis found to occur in as many as 20–50% of stented vessels (Grewe et al., 2000). Numerous computational studies have been carried out to investigate the expansion and mechanical behaviour of different stent designs; both balloon-expanding stent designs (Dumoulin and Cochelin, 2000; Etave et al., 2001; Tan et al., 2001; Migliavacca

et al., 2002; Chua et al., 2003; Petrini et al., 2004) and nitinol self-expanding stents (Whitcher, 1997). However, very few analyses have been performed on the interaction between the stent and the artery, even though vascular injury has been hypothesized as the stimulus for the formation of occlusive intimal hyperplasia and eventual restenosis (Edelman and Rogers, 1998). In fact, vascular injury caused to a vessel by the implantation of a stent, whether defined by the depth of penetration of the stent wires or by an aggressiveness score, has consistently been found to determine the degree of restenosis (Schwartz and Holmes, 1994; Arakawa et al., 1998; Hoffman et al., 1999) and restenosis is therefore strongly linked to stent design (Rogers and Edelman, 1995; Kastrati et al., 2000).

In recent years, drug-eluting stents have emerged as an alternative to bare metal stents and are coated in an

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anti-proliferative drug to prevent in-stent restenosis. Although, these stents have shown much promise it is still generally accepted that the optimal approach to coronary revascularization still lies in further developments in both stent design and drug-eluting stents (Lepor et al., 2002). Rogers et al. (1999) used the finite-element method to carry out a 2D analysis to investigate balloon–artery interactions during stent placement and showed that factors such as the balloon-inflation pressures, stent–strut openings and balloon compliance can influence the contact stresses between the balloon and the arterial tissue within the stent–struts and hence vessel injury. However, their study was limited to a 2D analysis and furthermore used linear elastic material properties to model the arterial tissue. A study of the arterial tissue within repeating units of different commercially available stent designs has been reported by Prendergast et al. (2003); they reported tissue prolapse and arterial wall stresses; however stent–artery contact was not simulated in that analysis nor were the models fully three dimensional (3D) in so far as only one repeating unit of each stent design was modelled. A fully 3D model has been developed by Auricchio et al. (2001), to look at an improvement to a stent design; however, the arterial tissue stresses were not reported. The most detailed numerical model to-date of a cardiovascular intervention is that by Holzapfel et al. (2002). They have developed a 3D model of a harvested cadaveric vessel using the finite-element method. The stresses induced within the vessel for a balloon angioplasty and a Palmaz–Schatz stenting procedure were determined. However, the localized stresses around the stent struts, i.e., the stresses most likely to provoke in-stent restenosis, were not computed and consequently it would not be possible to determine differences in the level of vascular injury as a function of stent design from their study.

In this paper, we test the hypothesis that two different stent designs will provoke different levels of stress in the vascular wall. The stents analysed were the S7 (Medtronic AVE, Minnesota, USA) and the NIR (Boston Scientific, Massachusetts, USA) stent designs. If this hypothesis is confirmed, and if the two stents also have significantly different restenosis rates, the study would support the use of computer-based finite-element analysis as a pre-clinical testing methodology to analyse the biomechanical attributes of cardiovascular stents.

## 2. Materials and methods

A finite-element analysis requires the geometry and material properties of the stent and blood vessel and appropriate loading conditions to simulate the stenting procedure, as described below. The finite-element soft-

ware used was MSC Marc/Mentat (Santa Ana, CA, USA).

### 2.1. Model geometry

The 3D geometry of the repeatable units of the fully expanded 3.5 mm diameter NIR and S7 stents was determined using a coordinate measurement technique reported earlier (Prendergast et al., 2003). The thickness of the struts was 0.1 mm. Using the repeating unit geometry of each stent design, solid models of the full stents were generated. The solid models generated were of the stents in a planar state, i.e., the geometry of the stents if they were cut open longitudinally and flattened out. The stent solid models was then meshed and ‘wrapped’ into a cylindrical shape by transferring the nodal coordinates from a Cartesian coordinate system into a cylindrical coordinate system. In this way FE meshes were generated for each stent design as shown in Fig. 1. The two stent designs differed greatly; the NIR is a slotted tube laser-cut stent with 7 crowns whilst the S7 is a modular stent with a circular cross-section, 10 crowns and welded joints.

The atherosclerotic coronary artery was modelled as an idealized vessel and represented by a cylinder with outside diameter of 4 mm and had a localized crescent-shaped axisymmetric stenosis with minimum lumen diameter of 2 mm, see Fig. 2. The plaque corresponds to a maximum stenosis of 56% of the proximal and distal lumen cross-sectional area.

The adaptive meshing capability within Marc/Mentat was used in the models for the arterial tissue to allow the mesh to adapt and refine at contact areas. This enabled the elements in the region of highest stress gradients to subdivide and the finer mesh allowed for more accurate stress and strain evaluation in critical regions.

### 2.2. Material properties

The two materials of the artery wall, arterial tissue and stenotic plaque, were modelled using a 5-parameter third-order Mooney–Rivlin hyperelastic constitutive equation. This has been found to adequately describe the non-linear stress-strain relationship of elastic arterial tissue (Lally and Prendergast, 2003). The general polynomial form of the strain energy density function in terms of the strain invariants, given by Maurel et al. (1998) for an isotropic hyperelastic material is

$$W(I_1, I_2, I_3) = \sum_{i,j,k=0}^{\infty} a_{ijk}(I_1 - 3)^i(I_2 - 3)^j(I_3 - 3)^k, \quad (1)$$

$$a_{000} = 0,$$

where  $W$  is the strain-energy density function of the hyperelastic material,  $I_1$ ,  $I_2$  and  $I_3$  are the strain invariants and  $a_{ijk}$  are the hyperelastic constants. If the

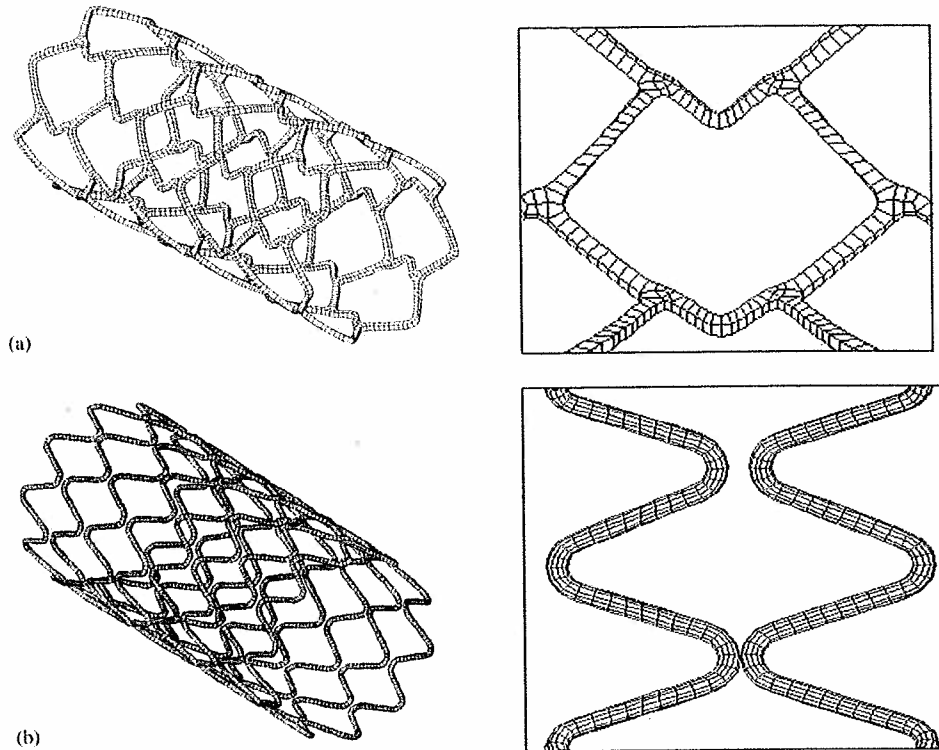


Fig. 1. Finite-element meshes of the fully expanded stents and exploded views of one repeating unit; (a) NIR stent and (b) S7.

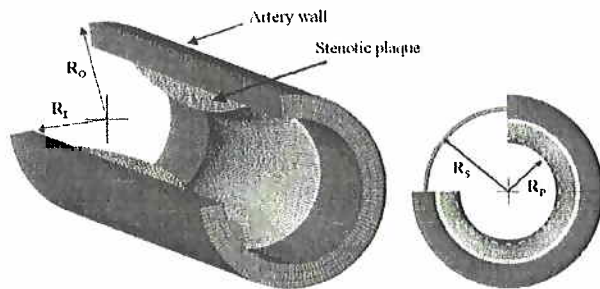


Fig. 2. Atherosclerotic coronary vessel geometry; artery outer radius,  $R_0 = 2$  mm, Non-stenosed artery inner radius,  $R_1 = 1.5$  mm, stenosis inner radius,  $R_p = 1$  mm, stent radius,  $R_s = 1.75$  mm.

principal stretches of the material are denoted  $\lambda_1$ ,  $\lambda_2$  and  $\lambda_3$ , then the strain invariants for the material may be defined as

$$I_1 = \lambda_1^2 + \lambda_2^2 + \lambda_3^2, \quad (2a)$$

$$I_2 = \lambda_1^2 \lambda_2^2 + \lambda_1^2 \lambda_3^2 + \lambda_2^2 \lambda_3^2, \quad (2b)$$

$$I_3 = \lambda_1^2 \lambda_2^2 \lambda_3^2. \quad (2c)$$

Arterial tissue may be taken as incompressible based on the results of previous studies (Carew et al., 1968; Dobrin and Rovick, 1969).  $I_3 = 1$  for an incompressible material. The specific hyperelastic-constitutive model

used to model the arterial tissue in this study is a specific form of Eq. (1) whereby the strain-energy density function is a third-order hyperelastic model suitable for an incompressible isotropic material and has the form given in Eq. (3) (Mooney, 1940).

$$W = a_{10}(I_1 - 3) + a_{01}(I_2 - 3) + a_{20}(I_1 - 3)^2 + a_{11}(I_1 - 3)(I_2 - 3) + a_{30}(I_1 - 3)^3. \quad (3)$$

Using Eq (3) the stress components can be obtained by differentiating the strain-energy density function,  $W$ , with respect to the corresponding strain components (Humphrey, 2002).

The arterial tissue material model was determined by fitting to data from uniaxial and equibiaxial tension tests of human femoral arterial tissue. The uniaxial and equibiaxial experimental and hyperelastic material model data are shown in Fig. 3. More details of the determination of the experimental data and this hyperelastic-material model are given in Prendergast et al. (2003). The hyperelastic constitutive model used to represent the plaque tissue in the vessel with a localized stenotic lesion was determined by fitting to published data for human calcified plaques (Loree et al., 1994), see Fig. 4. Table 1 summarizes the constants used for the hyperelastic constitutive equations to define the two material models. The stent material was modelled as linear elastic 316L stainless steel ( $E = 200$  GPa,  $\nu = 0.3$ ).



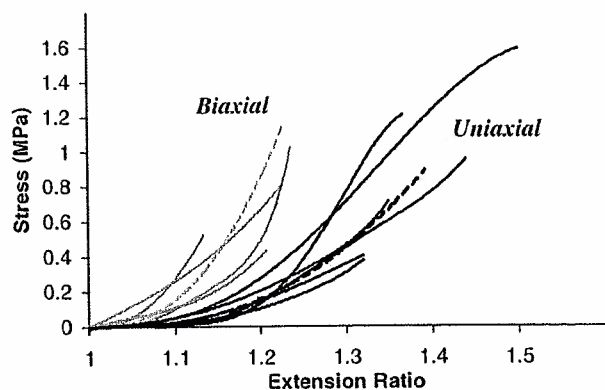


Fig. 3. Human femoral arterial tissue properties (solid lines) and the mechanical properties of the hyperelastic-material model for arterial tissue (dashed lines) (Prendergast et al., 2003).

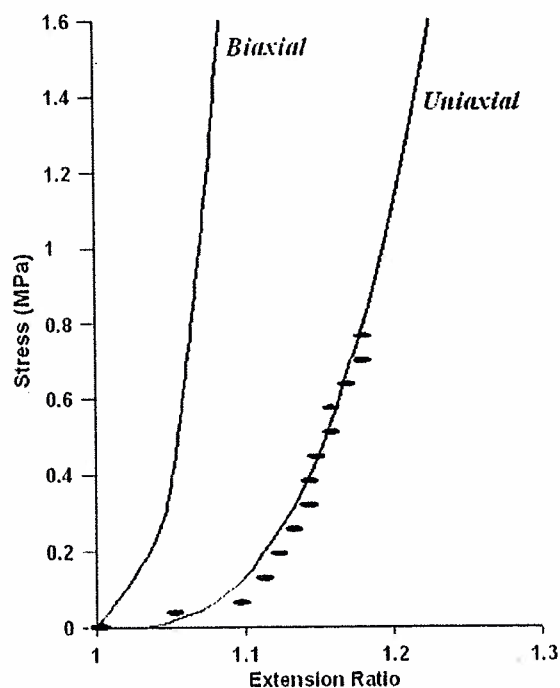


Fig. 4. Human calcified plaque properties (data points), adapted from Loree et al. (1994) (with permission), and the mechanical properties of the hyperelastic material model for plaque tissue (solid lines).

Table 1  
Hyperelastic constants to describe the arterial tissue (Prendergast et al., 2003) and stenotic plaque non-linear elastic behaviour

	Arterial wall tissue (kPa)	Stenotic plaque tissue (kPa)
$a_{10}$	18.90	-495.96
$a_{01}$	2.75	506.61
$a_{20}$	85.72	1193.53
$a_{11}$	590.43	3637.80
$a_{30}$	0	4737.25

The parameters describe a Mooney–Rivlin model of the form given in Eq. (1).

### 2.3. Boundary conditions

The loading and restraint conditions were applied to the stent/artery construct in two steps. It involved use of the feature that exists in Marc/Mentat (and many other finite-element codes as well) that allows elements to be activated and deactivated during an analysis (called 'element birth and death'). In the first step, the stent elements were deactivated and the vessel was expanded to a diameter greater than that of the expanded stent by applying a sufficient internal pressure to the vessel (13 MPa). In the second step, the elements of the stent were activated and the pressure on the inner lumen of the artery was gradually reduced to a value of 13.3 kPa, corresponding to mean blood pressure of 100 mmHg. Due to the elastic nature of the hyperelastic arterial tissue the vessel contracted around the stent with the stent behaving as a scaffold within the vessel. Frictionless contact between the stent and the artery was assumed.

The contact algorithm implemented in marc/mentat was the direct constraint method. In this procedure, the motion of the bodies are tracked, and when contact occurs, direct constraints are placed on the motion using boundary conditions—both kinematic constraints on transformed degrees of freedom and nodal forces. Deformable–deformable contact was used to describe the contact between the two contact bodies, i.e., the stent and the artery. Both contact bodies were mathematically defined as analytical (NURB) surfaces. This leads to a more accurate solution because the normal to the contact surface is recalculated each iteration based upon the current surface position.

A longitudinal stretch of 1.2 was applied to the artery in an attempt to simulate the longitudinal tethering observed on coronary arteries in vivo (Weizsacker et al., 1983; Ogden and Schulze-Bauer, 2000). Axial restraints were applied to one end of the vessel. During the analysis the stent was restrained at one node in the circumferential direction to prevent rigid body rotations. Because of cyclic symmetry it was not necessary to model the entire stented vessel but rather only segments of the stented artery had to be modelled. Using the cyclic symmetry capability in Marc/Mentat, the nodes on the two cyclically symmetrical faces were coupled or tied. This allows out of plane motion (unlike planar symmetry constraints) and was therefore valid for representing a cyclically repeating segment of the stented vessel. Planar symmetry constraints cannot accurately model a cyclically repeating segment of a stenting procedure since the arterial geometry and the stent geometry in contact are not uniform in the axial direction. The NIR stent was represented by a cyclically repeating one-seventh segment whilst the S7 could only be represented by half-cyclic symmetry due to the position of the welds on the stent.

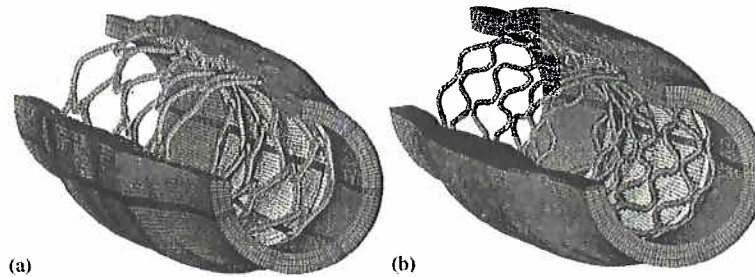


Fig. 5. The deformation of the artery, stenotic material and stent for (a) the NIR stent and (b) the S7 stent (one quarter of the artery and stenosis removed for viewing).

The element and node number used to represent the geometry of the two stenting simulations differed greatly as a result of the cyclic symmetry constraint applied. The one-seventh segment of the NIR stent was adequately represented by 125 elements and 470 nodes. For the half model of the S7, 5040 elements and 9021 nodes were necessary to model the circular cross-section of the stent. Although the mesh density used for the plaque and artery were the same in each model the number of elements differed since only one-seventh of the artery was represented in the NIR simulations whilst half of the artery had to be defined in the simulation of the S7 stenting procedure. This corresponded to 11,460 elements and 14,154 nodes for the NIR stenting procedure simulation and 40,040 elements and 47,783 nodes for the S7.

### 3. Results

The adaptive meshing incorporated into the simulations resulted in an increase in the number of elements and nodes defining the hyperelastic artery and plaque materials in both stenting simulations. The final number of elements that defined the artery was 22,072 (30,547 nodes) and 60,354 elements (80,293 nodes) in the NIR and S7 simulations, respectively.

The finite-element models predicted that both stents restored patency to the stenosed vessels, see Fig. 5a and b. As shown in Fig. 5a and b, the tissue drapes between the repeating units of the stents. Quantifying this, we find that the maximum tissue prolapse between the stent–struts to be 0.056 mm in the S7 stent compared with 0.124 mm for the NIR stent in the stenotic vessel. The contact area between the stent and the artery was 13.9 mm<sup>2</sup> for the NIR stent and 11.3 mm<sup>2</sup> the S7 stent.

The stresses induced within each stented vessel differed with a larger volume of highly stressed vascular wall predicted for the NIR stent, see Figs. 6 and 7. By analysing the volumes of the material stressed at different stress levels it was found that very high tensile stresses (> 4 MPa) occurred in 21% of the artery stented

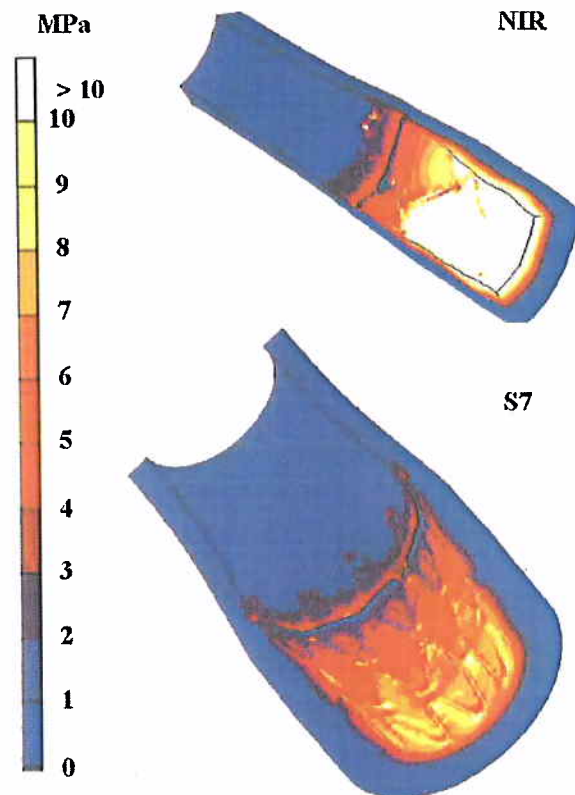


Fig. 6. Maximum principal stresses in atherosclerotic vessels stented with NIR and S7 stent. For symmetry reasons only 1/7 of the NIR and 1/2 of the S7 needs to be modelled.

with the NIR stent as compared to only 4% with the S7, see Fig. 7 for detailed results.

Radial retraction was observed in the two stents as a result of the radial compressive forces exerted by the artery on the stents. This is to be expected due to bending of the stent struts and continued until equilibrium was reached between the radial strength of the stents and the radial compressive forces exerted by the artery. The retraction was found to be greater in the S7 compared with the NIR, see Fig. 8. The final lumen diameter corresponded to a final stenosis of 14% for the



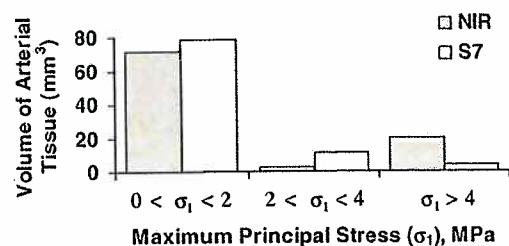


Fig. 7. Maximum principal stress volumes for the arterial tissue in the atherosclerotic vessel stented with an S7 and NIR stent.

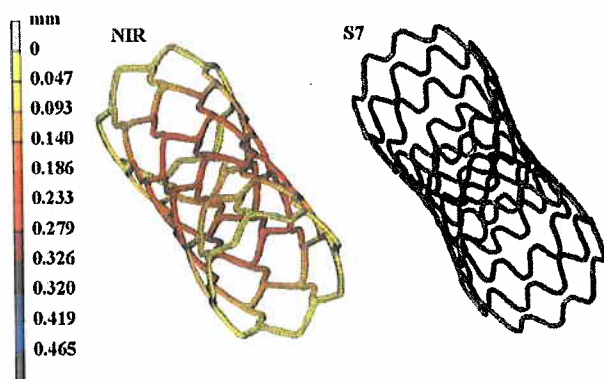


Fig. 8. Stent radial retraction in the models of the stented vessels.

NIR and 23% for the S7 stent, relative to the initial proximal and distal lumen area (i.e. the lumen area with a radius of 1.5 mm). However, the lumen cross-section was altered as a result of the axial stretch applied. As a result, the final lumen area at the stenosis corresponded to 8% increase in the lumen area, relative to the final proximal and distal lumen cross-sectional area in the NIR stenting simulation. It corresponded to 4% reduction (stenosis) in the final lumen cross-sectional area proximal and distal to the stenosis in the vessel stented with the S7 stent.

#### 4. Discussion and conclusions

For a vessel with a localized stenotic lesion, based on the stresses induced in the vessel wall in these simulations, it is expected that the S7 would cause less vascular injury than the NIR. The lower volume of tissue stressed to high levels with the S7 stent compared to the same idealized geometry stented with the NIR made from the same material, can be attributed to the greater conformability of the S7 stent to the inner lumen geometry of the vessel. This includes the greater radial retraction observed in the S7 at the site of the vessel stenosis, see Fig. 8. By retracting, the stent maintains the lower stresses on the vessel; however the S7 maintains sufficient patency and superior scaffolding properties

(0.056 mm maximum tissue prolapse compared with 0.124 mm for the NIR stent). In fact this value of maximum tissue prolapse is also very low compared with other stents designs (BeStent 2, Medtronic AVE; VELOCITY, Cordis; TETRA, Guidant), in which the maximum tissue prolapse within a repeatable stent unit of each of these designs has been determined in an earlier study (Prendergast et al., 2003).

Stent retraction is measured by the radial displacement of the stent; however, the tissue prolapse is tissue protrusion between the stent-struts and it defines the scaffolding properties of the stent. These results illustrate the coupling that exists between the stresses imposed on the vessel wall and the radial retraction of the stent. The radial retraction observed here is exaggerated as a result of the method used to simulate the stenting procedure, i.e., an expansion of the artery past the stent and the subsequent collapse of the artery around the stent. However, the simulations illustrate that if the two stent designs analysed were deployed using the same pressure in the same vessel that the NIR stent would induce higher stresses in the vessel wall. Clearly, an optimum stent design should retract sufficiently to prevent overstressing the vessel wall but still maintain patency of the vessel and plaque scaffolding. In fact, taking account of the differences in magnitude of radial retraction and tissue prolapse for the two stent designs, the minimum lumen diameter is predicted to be 2.63 and 2.79 mm at the vessel stenosis for the S7 and NIR stent, respectively. These correspond to 8% increase in the stenosis lumen area for the NIR stent and 4% reduction in the stenosis lumen area for the S7, relative to the final proximal and distal lumen area of the stented vessel. It is clear that the marginally higher lumen diameter achieved by the NIR stent is at the expense of large areas of considerably higher stress. These stresses may provoke a greater response to injury by the vessel wall and ultimately restenosis. This demonstrates the high risks associated with choosing an oversized rigid stent design as compared to the use of the more flexible S7 stent.

The clinical angiographic restenosis rate reported for the NIR stent is 19% at 6-month follow-up (Rutsch et al., 2000), and 19.3% at 9-month follow-up (Baim et al., 2001). The angiographic restenosis rate reported for the S7 stent at 6-month follow-up is 10.1% (Medtronic DISTANCE trial). The results of this study offer an explanation for this lower restenosis rate when compared with the NIR stent; the S7 stent would cause less vascular injury to the stented vessel and therefore would be expected to have a lower restenosis rate than the NIR stent whilst maintaining superior scaffolding properties than the NIR stent. It should also be noted that the S7 achieves high patency (only 4% stenosis) and excellent scaffolding whilst also having a lower stent/artery contact area (11.3 mm<sup>2</sup> as compared to 13.9 mm<sup>2</sup> for



the NIR). Maintaining the metal/tissue contact area low also reduces the thrombotic response of the vessel to the presence of a foreign material (Rogers and Edelman, 1995).

The main limitation of this study is that the arteries in which the stents are implanted are an idealized representation of stenosed coronary arteries. It is hypothesized, however, that the tortuosity of a realistic coronary artery model would only serve to further demonstrate the lower stresses generated by a flexible stent that can conform well to the vessel curvature relative to a stiffer stent. A more tortuous arterial geometry might also show that a rigid stent would cause even further high-stress concentrations and hence vascular injury at the ends of the stent, which could embed in the artery wall due to the relative non-conformability of the stent.

No rupture or damage mechanism has been incorporated into our plaque model. The high stresses observed in the calcified plaque are high enough that fracture could occur in this relatively brittle material. An analysis of the fracture process of the plaque is, however, beyond the scope of the present study. This study is currently being extended to develop a computational model of the restenosis mechanism using the stresses induced within the artery wall as a damage stimulus for the growth of restenotic tissue (Lally et al., 2004).

Finally, the process of stent expansion is not modelled in our simulations. This limits the study since it cannot be used to analyse the shearing force that an expanding stent could impose on an artery. In this respect, the approach used in this paper is suitable for studies that aim to look at the influence of stent placement on vessel wall stresses and stent-induced vascular injury after stent deployment since the stent geometry has been obtained from a stent in its expanded state.

The methodology described in this paper is proposed as a method to compare and analyse existing stent designs and can now also be used to develop new stent designs. Since this study already shows that stress predictions can be correlated with in-stent restenosis, it would be worthwhile to develop finite-element models of realistic vessel geometries, which can be obtained from Intravascular Ultrasound (IVUS) imaging. By determining full 3D patient specific geometries pre-stenting it may be possible to choose a stent design based on the patient's specific coronary artery stenosis geometry and thereby optimize the outcome of stenting procedures.

#### Acknowledgements

Project funded by an Applied Research Grant awarded by Enterprise Ireland to Medtronic Vascular, Galway, Ireland, and the Centre for Bioengineering, Trinity College, Dublin, Ireland, under the Programme

for Research in Third Level Institutions, administered by the HEA.

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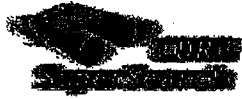
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# EXHIBIT C



U.S. Food and Drug Administration

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### Medical Device Recalls Class 2 Recall



<b>Date Recall Initiated</b>	April 01, 2003
<b>Date Posted</b>	April 22, 2003
<b>Recall Number</b>	Z-0775-03
<b>Product</b>	Guidant Multi-Link Vision Coronary Stent System Catalog Numbers: 30 different catalog numbers have been listed. The firm indicates that total numbers of devices subject to this removal are being defined.
<b>Code Information</b>	All lots are included in the action.
<b>Recalling Firm/Manufacturer</b>	Guidant Corporation ACS 26531 Ynez Road Temecula , California 92591-4628
<b>For Addition Information Contact</b>	James C. McMahon, PhD 909-914-2298
<b>Reason For Recall</b>	Complaints that the stents are being dislodged from the delivery system.
<b>Action</b>	Information as an Advisory Notice was sent by facsimile to the Competent Authorities and to distributors on April 1 2003. Recall letters will be delivered

by hand in most instances. . Firm  
Initiated recall is ongoing.

**Quantity in Commerce** Estimated 7 to 9 thousand units

**Distribution** No USA distribution, only to the  
countries of Australia, Austria,  
Belgium, Denmark, Finland, France,  
Germany, Greece, Italy, Lebanon,  
Netherlands, Norway, Poland,  
Portugal, Spain, Sweden, Switzerland,  
Tunisia, United Kingdom.

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### Medical Device Recalls Class 2 Recall



<b>Date Recall Initiated</b>	May 07, 2003
<b>Date Posted</b>	May 22, 2003
<b>Recall Number</b>	Z-0862-03
<b>Product</b>	MULTI-LINK Pixel Coronary Stent System Size: 2.0 x 13 mm OTW Part Number: 1007830-13
<b>Code Information</b>	Lot 3021951
<b>Recalling Firm/ Manufacturer</b>	Guidant Corporation ACS 26531 Ynez Road Temecula , California 92591-4628
<b>For Addition Information Contact</b>	James C. McMahon 909-914-2298
<b>Reason For Recall</b>	Sterility compromised.
<b>Action</b>	The firm initially is notifying by letter dated May 6, 2003 and will plan visit follow-ups to collect quarantined product. . Firm Initiated recall is ongoing.
<b>Quantity in Commerce</b>	40

**Distribution**

**NATIONWIDE**

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### Medical Device Recalls Class 2 Recall



<b>Date Recall Initiated</b>	July 15, 2003
<b>Date Posted</b>	August 01, 2003
<b>Recall Number</b>	Z-1075-03
<b>Product</b>	Guidant Multi-Link Zeta Coronary Stent System
<b>Code Information</b>	3050632 3060432 3060251 3051952 3052251 3060331 3051231 3051951 3052752 3052031 3051931 3050651 3052752 3060531 3060451 3050751 3052251 3051951 3050931 3050632 3052032 3060251 3051531 3053051 3051634 3051632 3053051 3051531 3052952 3051552 3050931 3052852 3060431 3060432 3050751 3052131 3051532 3052031 3051631 3050251 3043051 3050931 3051531 3051451 3052851 3051551 3051531 3041031
<b>Recalling Firm/Manufacturer</b>	Guidant Corporation ACS 26531 Ynez Road Temecula , California 92591-4628
<b>For Addition Information Contact</b>	Michael Schwartz 909-914-2627



<b>Reason For Recall</b>	Potential loss of package sterility.
<b>Action</b>	Firm personnel in China have inspected all Chinese consignee end user inventories. Any units found to be defective have been removed and are currently in Guidant control. . Firm Initiated recall is ongoing.
<b>Quantity in Commerce</b>	Not stated
<b>Distribution</b>	China

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# EXHIBIT D

# HHS NEWS

*U.S. Department of Health and Human Services*

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P98-29  
FOR IMMEDIATE RELEASE  
October 8, 1998

FOOD AND DRUG ADMINISTRATION  
Sharon Snider: 301-827-6242  
Consumer Inquiries: 800-532-4440

## **BOSTON SCIENTIFIC ISSUES NATIONWIDE RECALL OF HEART STENT**

Boston Scientific Corporation, headquartered in Natick, Mass., announced on October 5 that it has stopped distributing its NIR ON Ranger with Sox coronary stent delivery system and is voluntarily withdrawing the product from the market due to a serious risk of patient injury. The Sox system is one of two delivery systems marketed for the stent. The recall will be handled by the company's Maple Grove, Minn., facility.

The FDA considers the withdrawal to be a total product recall. FDA urges hospitals to immediately stop using this device because of potential risk to patients.

The product, launched in early August, was shipped to more than 200 hospitals and medical centers throughout the United States. Approximately 36,000 systems have been shipped and the company estimates 25,000 have been used.

The device is implanted in patients to help keep open previously blocked heart arteries after the blockage has been cleared. It has been popular with doctors because of its thinness and ease of manipulation, which allow it to be used in small or twisting coronary arteries.

The firm's decision to recall the product was based on their receipt of more than 100 reports of balloon leakage. The company has reported 26 injuries and one death to the FDA. The balloon is a key element in the coronary stent system. After the stent is positioned, the balloon is expanded and as it expands, it pushes the stent up against the plaque, the fatty tissue blocking the artery wall. The balloon is then deflated and withdrawn, leaving the stent permanently in place to prop open the blood vessel.

The company has stated that the reports of injury were likely attributed to a change in the manufacturing process that affected the balloon only. That change was not reviewed or approved by FDA's product review staff.

There are two delivery systems for the NIR ON Ranger: one with Sox and one without. As the firm recalls the NIR ON Ranger with Sox, the NIR ON Ranger coronary stent without Sox will continue to be available. The company has evaluated that system and indicated to FDA that it performs as specified and as labeled.

Hospitals with questions may contact the company at 1-888-724-6334.

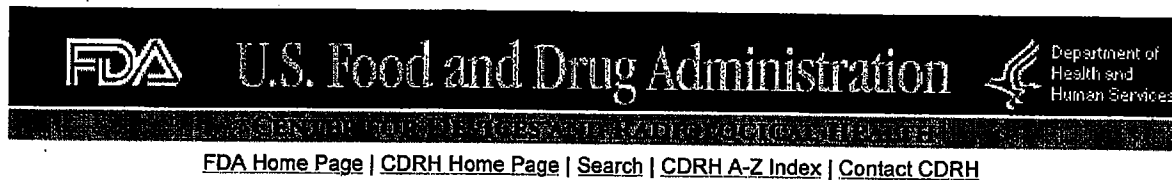
FDA is disseminating additional information to physicians through health care professional organizations to alert them to the problem.

####

**ATTENTION TV BROADCASTERS: Please use open caption for the hearing impaired.**

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The following document is a copy of a **Press Release** from **Boston Scientific** related to the Class I Medical Device Recall of the Boston Scientific Express<sup>2</sup>TM (bare metal) Coronary Stent.

## BOSTON SCIENTIFIC TO RECALL ADDITIONAL CORONARY STENT SYSTEMS

Natick, MA (July 16, 2004) – Boston Scientific Corporation (NYSE: BSX) announced today that it is expanding its voluntarily worldwide recall to include certain additional units of its TAXUS<sup>TM</sup> Express<sup>2</sup>TM Paclitaxel-Eluting Coronary Stent Systems. The Company is also beginning a voluntary recall of certain units of its Express<sup>2</sup>TM Coronary Stent Systems (bare metal stents). The Company has notified the U.S. Food and Drug Administration (FDA) and plans to notify officials in other affected countries.

On July 2, the Company announced the recall of approximately 200 TAXUS Express<sup>2</sup> Paclitaxel-Eluting Coronary Stent Systems, due to characteristics in the delivery catheters that have the potential to impede balloon deflation during a coronary angioplasty procedure. Since then, the Company has conducted further analysis and investigation of the TAXUS Express<sup>2</sup> (paclitaxel-eluting) and Express<sup>2</sup> (bare metal) stent systems, both of which share the same delivery catheter, and has identified additional production lots which may exhibit these characteristics. While the number of customer reports of balloon deflation difficulty is extremely small, patient safety is the Company's paramount concern, and therefore it has chosen to initiate this broader recall. Impeded balloon deflation can result in significant patient complications, including coronary artery bypass graft surgery and death. The Company has received reports of one death and 18 serious injuries associated with balloon deflation for the TAXUS stent system, and two deaths and 25 serious injuries associated with balloon deflation in the Express<sup>2</sup> (bare metal) stent system. The units being recalled were manufactured at the Company's Galway, Ireland and Maple Grove, Minnesota facilities.

The recall will involve approximately 85,000 TAXUS stent systems and approximately 11,000 Express<sup>2</sup> stent systems. Overall, the Company has shipped more than 500,000 TAXUS stent systems and more than 600,000 Express<sup>2</sup> stent systems. The recall does not affect the Express<sup>TM</sup> SD and LD biliary stent systems.

The Company implemented review of its manufacturing process, additional inspections, and an FDA-approved modification to the manufacturing process for these products. The current and future production are not expected to experience similar balloon deflation problems.

"Patient safety continues to be our highest priority," said Jim Tobin, President and Chief Executive Officer of Boston Scientific. "We have every confidence these products are safe and effective, and we expect that these measures will go a long way toward reducing the occurrence of these events. We regret

any disruption this recall may cause to physicians and their patients. We're fortunate that current TAXUS inventory levels will minimize service disruption in the United States, but we do expect some disruption internationally. We will continue to monitor the quality and performance of the affected products, and we will take appropriate action to ensure patient safety."

Today's action does not affect patients who have already received these stents, because the difficulty is with the delivery system and occurs at the time of insertion, not afterward.

Institutions with affected units will be receiving packages outlining the recall process and should immediately discontinue use of these units. Clinician and patient inquiries may be directed to Boston Scientific at 800-832-7822.

The Company expects that the recall will impact the financial results for the second quarter. On a preliminary basis, it is expected that the impact will include the reversal of sales related to the recalled products of approximately \$45 million (pre-tax) and a write-down of inventory of approximately \$50 million (pre-tax).

In light of today's announcement, the Company will postpone the announcement of its financial results for the second quarter, originally scheduled for Monday, July 19, so that it may finalize the adjustments necessitated by the recall. The Company plans to reschedule the call for Monday, July 26, at a time to be announced next week.

Boston Scientific officials will be discussing this press release with analysts on a conference call at 3:45 p.m. (ET) today. The Company will webcast the call to all interested parties through its website [www.bostonscientific.com](http://www.bostonscientific.com). Please see the website for details on how to access the webcast. The webcast will be archived and available for 10 days on the Boston Scientific website.

Boston Scientific is a worldwide developer, manufacturer and marketer of medical devices whose products are used in a broad range of interventional medical specialties. For more information, please visit: [www.bostonscientific.com](http://www.bostonscientific.com).

This press release contains forward-looking statements. The Company wishes to caution the reader that actual results may differ from those discussed in the forward-looking statements and may be adversely affected by, among other things, risks associated with the regulatory process, litigation, competitive product offerings and other factors described in the Company's filings with the Securities and Exchange Commission.

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Updated July 22, 2004

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